

03-17-03

GP/1773

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/726,769	
	Filing Date	November 29, 2000	
	First Named Inventor	Furman et al	
	Group Art Unit	1773	
	Examiner Name	Hoa T. Le	
Total Number of Pages in This Submission	4+	Attorney Docket Number	SWRI-2749-A

**RECEIVED**  
GROUP 1700  
MAR 13 2003

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) 14 References;
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2) Certificate of transmission under 37 CFR 1.10
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (SWRI 2749-A), maintained by Paula D. Morris & Associates, P.C.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paula D. Morris, Registration No. 31,516
Signature	<i>Paula Morris</i>
Date	3-12-03

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	Please see attached certificate of transmission under 37 CFR 1.10
Signature	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RECEIVED  
MAR 13 2003  
GROUP 1700

### Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

**BOX NON FEE AMENDMENT**

Assistant Commissioner for Patents  
Washington D.C. 20231

on 3/13/03  
Date

EV 207818850 US  
Express Mail Label No.

Ann Marie Alaniz  
Signature

Ann Marie Alaniz  
Typed or Printed Name

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper

Transmittal form, Supplemental Information Disclosure Statement, PTO Form 1449, 14 References and return postcard.

<b>Applicant:</b>	<u>Furman et al.</u>	<b>Group Art Unit:</b>	<u>1773</u>
<b>Serial No.:</b>	<u>09/726,769</u>	<b>Examiner:</b>	<u>Hoa T. Le</u>
<b>Filing Date:</b>	<u>November 29, 2000</u>	<b>Atty. Docket No.:</b>	<u>SWRI-2749-A</u>
<b>Title:</b>	<u>Methods of Functionalizing and Functionalized Metal Oxide Particles and Mechanically Strong and Transparent or Translucent Composites Made Using Such Particles</u>		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Furman, et al. § Group Art Unit: 1773  
Serial No.: 09/726,769 § Examiner: Hoa T. Le  
Filed: 11/29/2000 § Atty. Docket No.: SWRI-2749-A  
Title: Methods of Functionalizing and Functionalized Metal Oxide Particles and Mechanically Strong and Transparent or Translucent Composites Made Using Such Particles

**BOX NON FEE AMENDMENT**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

RECEIVED  
MAR 13 2003  
GROUP 1700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

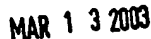
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Supplemental Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997 (SWRI-2749-A), maintained by Paula D. Morris & Associates, P.C..

Respectfully submitted,

Paula D. Morris, Registration No. 31,516  
Paula D. Morris & Associates, PC  
2925 Briarpark Drive, Suite 930  
Houston, Texas 77042-3728  
Telephone: (713) 334-5151  
Facsimile: (713) 334-5157



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	09/726.769
<b>Filing Date</b>	11/29/2000
<b>First Named Inventor</b>	Furman et al.
<b>Art Unit</b>	1773
<b>Examiner Name</b>	Hoa T. Le
<b>Attorney Docket Number</b>	SWRI-2749-A

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code <sup>2</sup> (if known)			
		us- 09/314.350	05-19-1999	Furman et al.	
		us- 09/667.370	09-22-2000	Wellnehoff et al.	
		us- 09/687.447	10-13-2000	Wellnehoff	
		us- 09/690.527	10-17-2000	Wellnehoff et al.	
		us- 09/691.680	10-18-2000	Wellnehoff	
		us- 09/692.690	10-19-2000	Wellnehoff	
		us- 10/057.548	01-23-2002	Wellnehoff et al.	
		us- 10/057.506	01-23-2002	Wellnehoff et al.	
		us- 10/190.470	07-05-2002	Wellnehoff et al.	
		us- 10/056.121	01-23-2002	Wellnehoff et al.	
		us- 60/263.387	01-23-2001	Wellnehoff et al.	
		us- 60/263.388	01-23-2001	Wellnehoff et al.	
		us- 60/263.392	01-23-2001	Wellnehoff	
		us- 60/303.986	07-09-2001	Wellnehoff	
		us-			
		us-			
		us-			
		us-			
		us-			

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**